# Time Synchronization and Logical Clocks



## CS 240: Computing Systems and Concurrency Lecture 4

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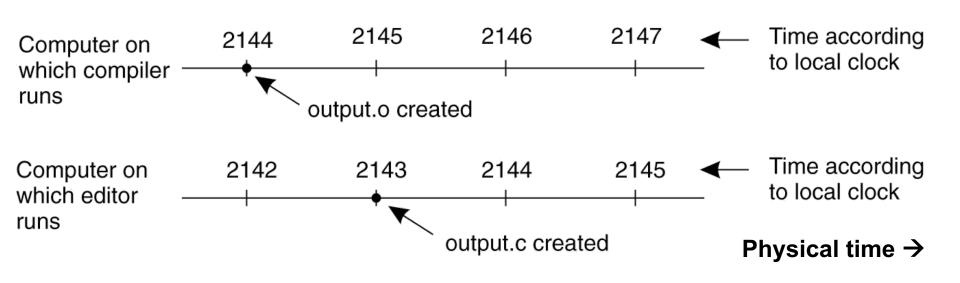
Credits: Michael Freedman and Kyle Jamieson developed much of the original material.

#### **Today**

#### 1. The need for time synchronization

- 2. "Wall clock time" synchronization
- 3. Logical time: Lamport clocks

## A distributed edit-compile workflow



2143 < 2144 → make doesn't call compiler</li>

Lack of time synchronization result – a possible object file mismatch

#### What makes time synchronization hard?

- Quartz oscillator sensitive to temperature, age, vibration, radiation
  - Accuracy circa one part per million (one second of clock drift over 12 days)
- 2. The network is:
  - Asynchronous: arbitrary message delays
  - Best-effort: messages don't always arrive

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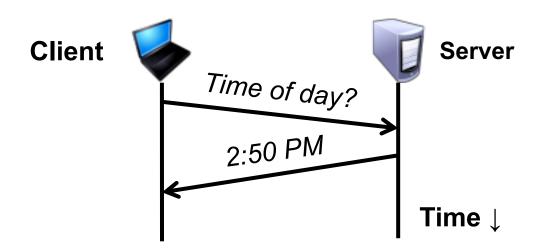
- Cristian's algorithm, NTP
- 3. Logical time: Lamport clocks

#### **Just use Coordinated Universal Time?**

- UTC is broadcast from radio stations on land and satellite (e.g., the Global Positioning System)
  - Computers with receivers can synchronize their clocks with these timing signals
- Signals from land-based stations are accurate to about 0.1–10 milliseconds
- Signals from GPS are accurate to about one microsecond
  - Why can't we put GPS receivers on all our computers?

#### Synchronization to a time server

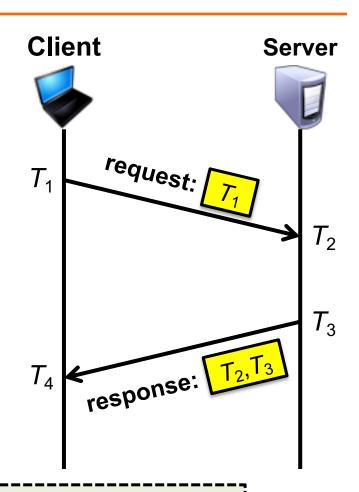
- Suppose a server with an accurate clock (e.g., GPSdisciplined crystal oscillator)
  - Could simply issue an RPC to obtain the time:



- But this doesn't account for network latency
  - Message delays will have outdated server's answer

#### Cristian's algorithm: Outline

- Client sends a request packet, timestamped with its local clock T<sub>1</sub>
- 2. Server timestamps its receipt of the request  $T_2$  with its local clock
- 3. Server sends a *response* packet with its local clock  $T_3$  and  $T_2$
- 4. Client locally timestamps its receipt of the server's response  $T_4$



How the client can use these timestamps to synchronize its local clock to the server's local clock?

Time ↓

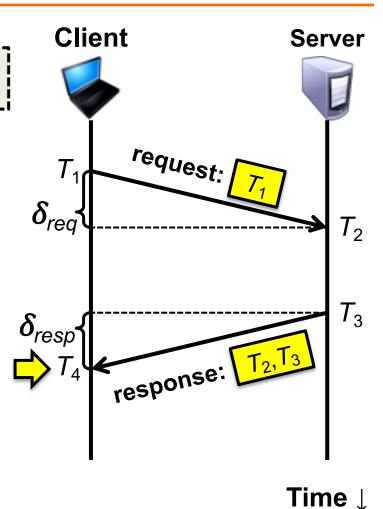
#### Cristian's algorithm: Offset sample calculation

Goal: Client sets clock  $\leftarrow T_3 + \delta_{resp}$ 

- Client samples round trip time  $\delta = \delta_{req} + \delta_{resp} = (T_4 T_1) (T_3 T_2)$
- But client knows  $\delta$ , not  $\delta_{\mathsf{resp}}$

Assume:  $\delta_{\text{req}} \approx \delta_{\text{resp}}$ 

Client sets clock  $\leftarrow T_3 + \frac{1}{2}\delta$ 



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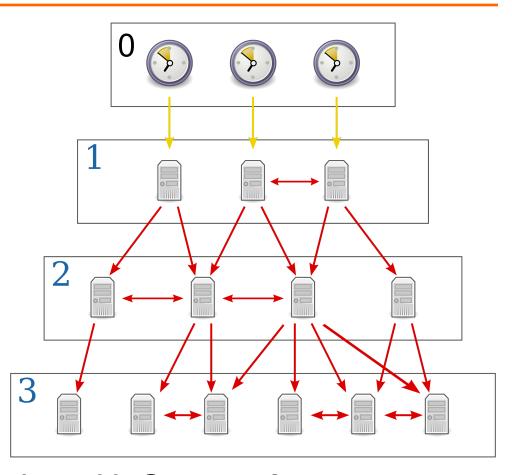
- Cristian's algorithm, NTP
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#### The Network Time Protocol (NTP)

- Enables clients to be accurately synchronized to UTC despite message delays
- Provides reliable service
  - Survives lengthy losses of connectivity
  - Communicates over redundant network paths
- Provides an accurate service
  - Unlike the Cristian's algorithm, leverages heterogeneous accuracy in clocks

#### NTP: System structure

- Servers and time sources are arranged in layers (strata)
  - Stratum 0:High-precision time sources
  - Stratum 1-3:NTP servers



Users' computers synchronize with Stratum 3 servers

#### NTP operation: Server selection

- Messages between an NTP client and server are exchanged in pairs: request and response
  - Use Cristian's algorithm
- For *i*<sup>th</sup> message exchange with a particular server, calculate:
  - 1. Clock offset  $\theta_i$  from client to server
  - 2. Round trip time  $\delta_i$  between client and server
- Over last eight exchanges with server k, the client computes its dispersion  $\sigma_k = \max_i \delta_i \min_i \delta_i$ 
  - Client uses the server with minimum dispersion

#### NTP operation: How to change time

- Can't just change time: Don't want time to run backwards
  - Recall the make example
- Instead, change the update rate for the clock
  - Changes time in a more gradual fashion
  - Prevents inconsistent local timestamps

#### Clock synchronization: Take-away points

- Clocks on different systems will always behave differently
  - Disagreement between machines can result in undesirable behavior
- NTP clock synchronization
  - Rely on timestamps to estimate network delays
  - 100s  $\mu$ s-ms accuracy
  - Clocks never exactly synchronized
- Often inadequate for distributed systems
  - Often need to reason about the order of events
  - Might need precision on the order of ns

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#### Motivation: Multi-site database replication

- A New York-based bank wants to make its transaction ledger database resilient to whole-site failures
- Replicate the database, keep one copy in sf, one in nyc



#### The consequences of concurrent updates

- Replicate the database, keep one copy in sf, one in nyc
  - Client sends query to the nearest copy
  - Client sends update to both copies



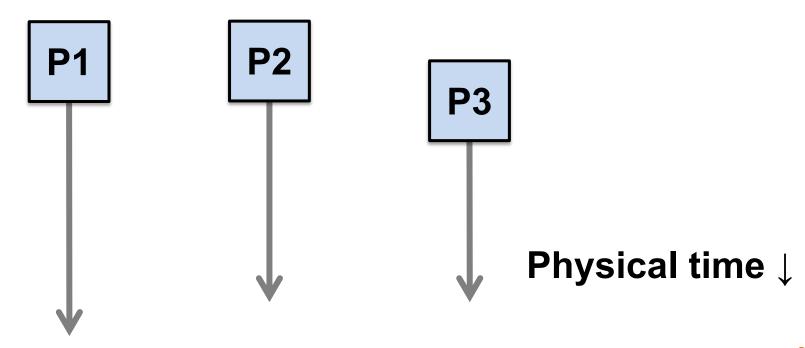
#### Idea: Logical clocks

- Landmark 1978 paper by Leslie Lamport
- Insight: only the events themselves matter

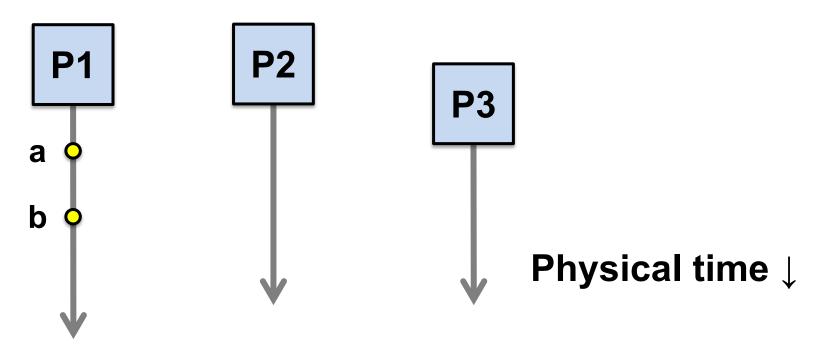


Idea: Disregard the precise clock time Instead, capture just a "happens before" relationship between a pair of events

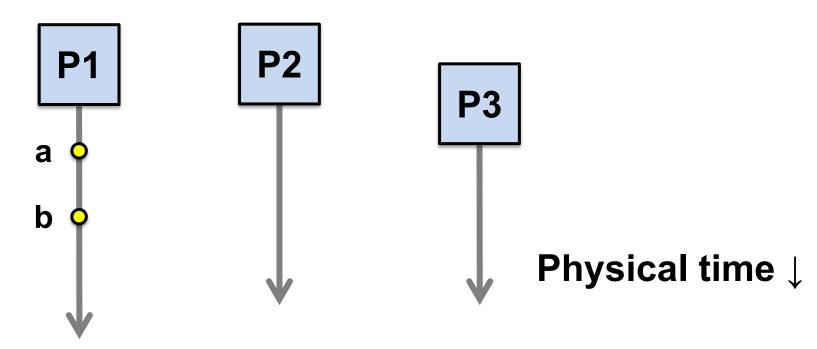
- Consider three processes: P1, P2, and P3
- Notation: Event a happens before event b (a → b)



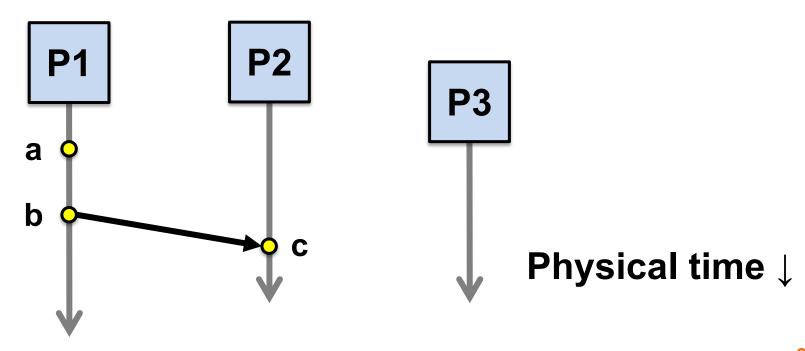
1. Can observe event order at a single process



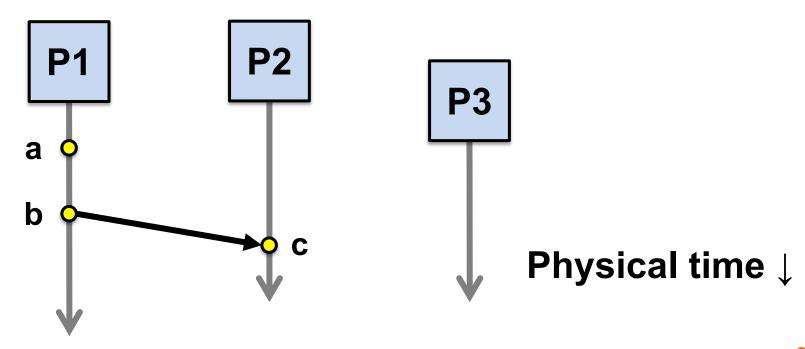
1. If same process and a occurs before b, then  $a \rightarrow b$ 



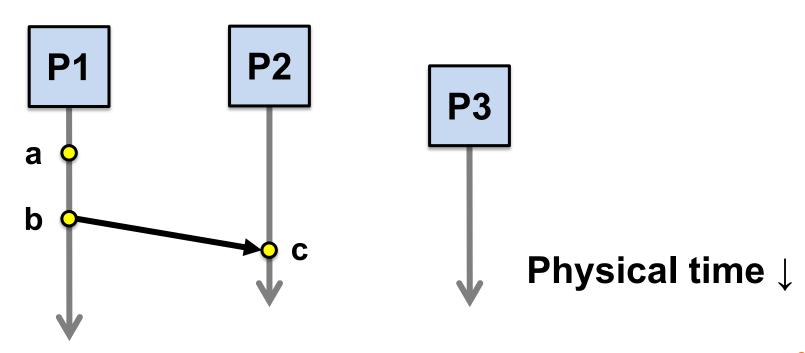
- 1. If same process and a occurs before b, then  $a \rightarrow b$
- 2. Can observe ordering when processes communicate



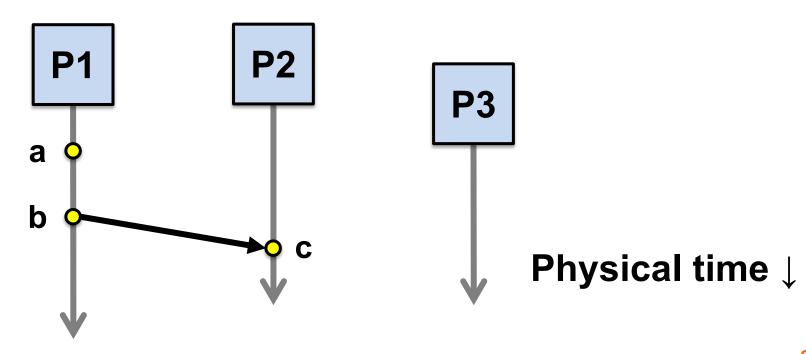
- 1. If same process and a occurs before b, then  $a \rightarrow b$
- 2. If **c** is a message receipt of **b**, then  $\mathbf{b} \rightarrow \mathbf{c}$



- 1. If same process and a occurs before b, then  $a \rightarrow b$
- 2. If **c** is a message receipt of **b**, then  $\mathbf{b} \rightarrow \mathbf{c}$
- 3. Can observe ordering transitively

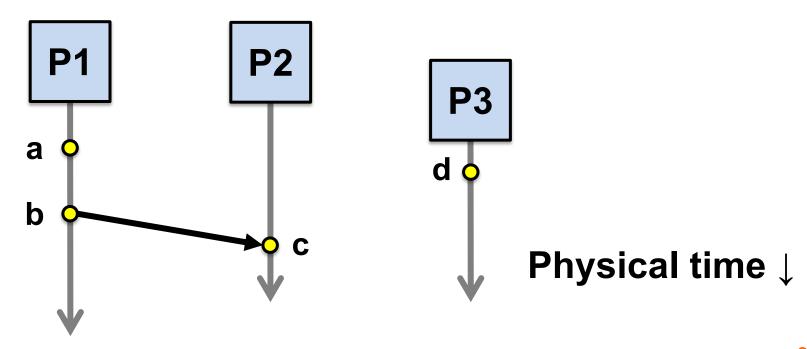


- 1. If same process and a occurs before b, then  $a \rightarrow b$
- 2. If **c** is a message receipt of **b**, then  $\mathbf{b} \rightarrow \mathbf{c}$
- 3. If  $\mathbf{a} \rightarrow \mathbf{b}$  and  $\mathbf{b} \rightarrow \mathbf{c}$ , then  $\mathbf{a} \rightarrow \mathbf{c}$



#### Concurrent events (||)

- Not all events are related by →
- a, d not related by → so concurrent, written as a || d



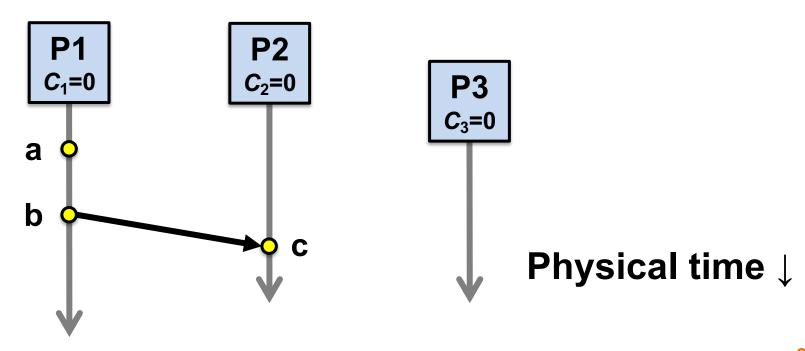
## Lamport clocks: Objective

We seek a clock time C(a) for every event a

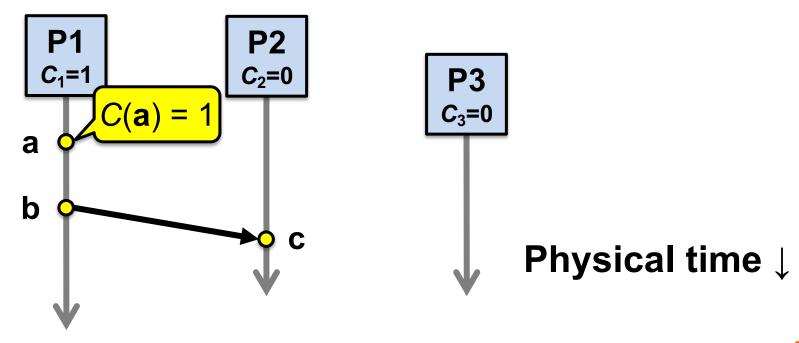
Plan: Tag events with clock times; use clock times to make distributed system correct

• Clock condition: If  $a \rightarrow b$ , then C(a) < C(b)

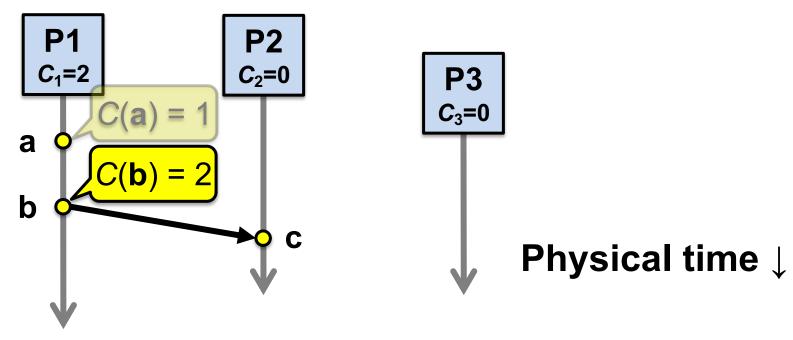
- Each process P<sub>i</sub> maintains a local clock C<sub>i</sub>
- 1. Before executing an event,  $C_i \leftarrow C_i + 1$



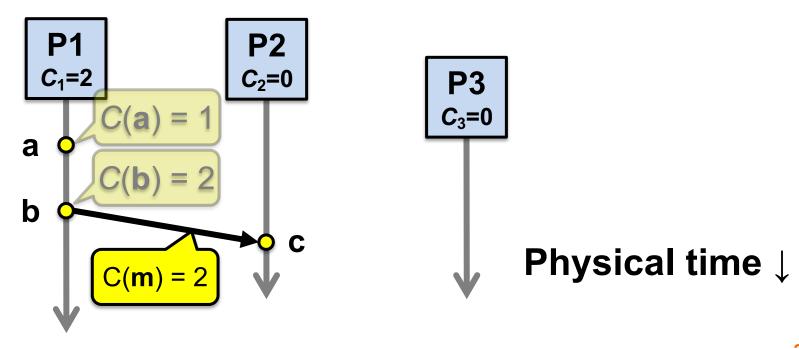
- 1. Before executing an event **a**,  $C_i \leftarrow C_i + 1$ :
  - Set event time  $C(\mathbf{a}) \leftarrow C_i$



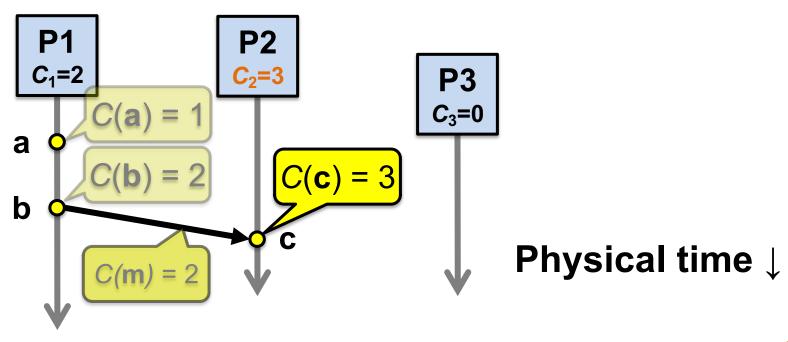
- 1. Before executing an event **b**,  $C_i \leftarrow C_i + 1$ :
  - Set event time  $C(\mathbf{b})$  ←  $C_i$



- 1. Before executing an event **b**,  $C_i \leftarrow C_i + 1$
- Send the local clock in the message m



- 3. On process  $P_i$  receiving a message m:
  - Set  $C_i$  and receive event time  $C(\mathbf{c})$  ←1 + max{  $C_i$ ,  $C(\mathbf{m})$  }

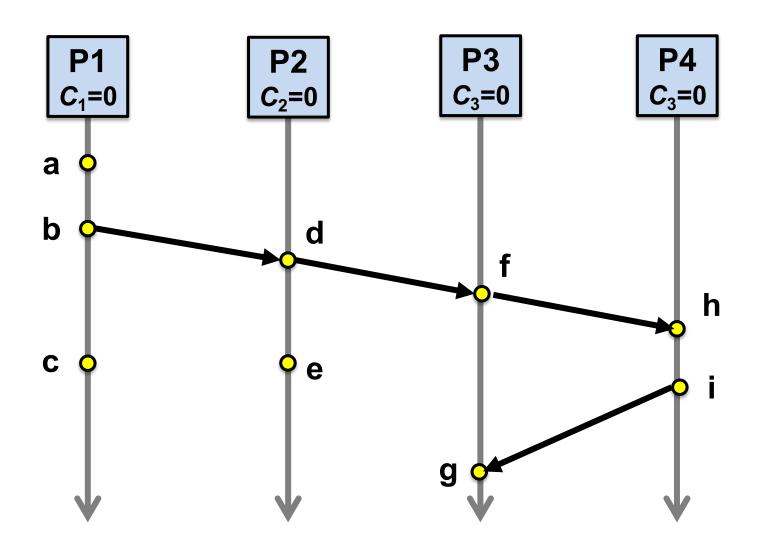


#### Lamport Timestamps: Ordering all events

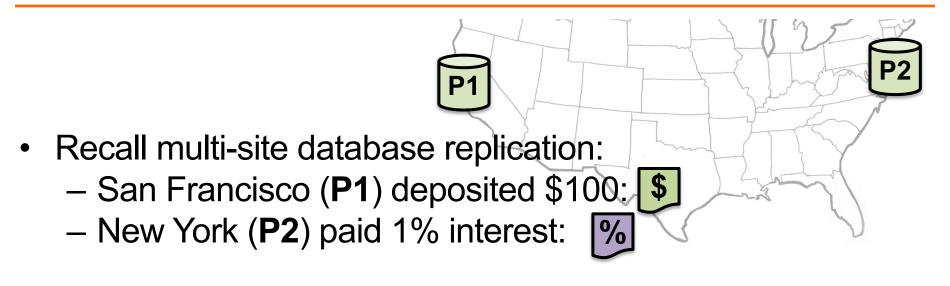
- Break ties by appending the process number to each event:
  - 1. Process  $P_i$  timestamps event e with  $C_i(e)$ .i
  - 2.  $C(\mathbf{a}).i < C(\mathbf{b}).j$  when:
    - C(a) < C(b), or C(a) = C(b) and i < j

- Now, for any two events  $\mathbf{a}$  and  $\mathbf{b}$ ,  $C(\mathbf{a}) < C(\mathbf{b})$  or  $C(\mathbf{b}) < C(\mathbf{a})$ 
  - This is called a total ordering of events

#### Order all these events



#### Making concurrent updates consistent



We reached an inconsistent state

Could we design a system that uses Lamport Clock total order to make multi-site updates consistent?

#### **Totally-Ordered Multicast**

Goal: All sites apply updates in (same) Lamport clock order

- Client sends update to one replica site j
  - Replica assigns it Lamport timestamp C<sub>i</sub>. j
- Key idea: Place events into a sorted local queue
  - Sorted by increasing Lamport timestamps

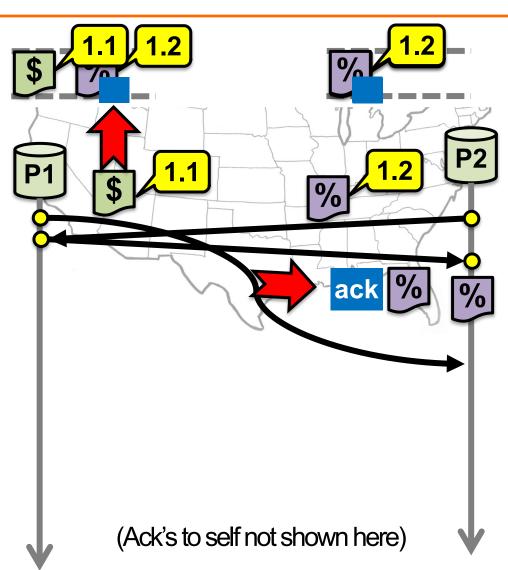
## **Totally-Ordered Multicast (Almost correct)**

- 1. On **receiving** an event from **client**, broadcast to others (including yourself)
- 2. On receiving an event from replica:
  - a) Add it to your local queue
  - b) Broadcast an *acknowledgement message* to every process (including yourself)
- 3. On receiving an acknowledgement:
  - Mark corresponding event acknowledged in your queue
- Remove and process events everyone has ack'ed from head of queue

#### **Totally-Ordered Multicast (Almost correct)**

- P1 queues \$, P2 queues %
- P1 queues and ack's %
  - P1 marks % fully ack'ed
- P2 marks % fully ack'ed

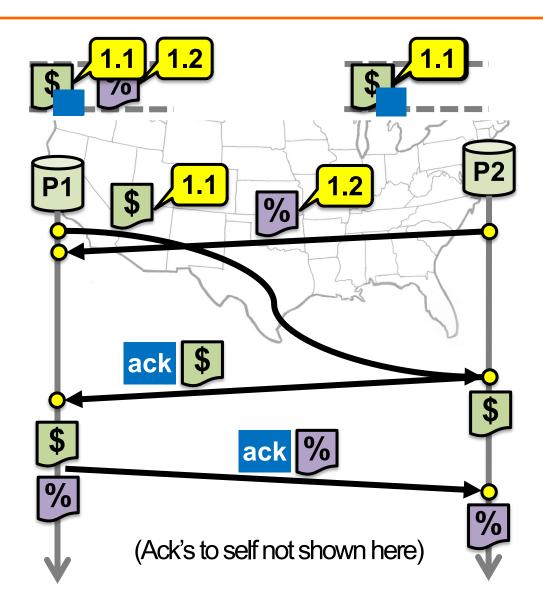
X P2 processes %



## **Totally-Ordered Multicast (Correct version)**

- 1. On **receiving** an update from **client**, broadcast to others (including yourself)
- 2. On receiving or processing an update:
  - a) Add it to your local queue, if received update
  - b) Broadcast an *acknowledgement message* to every replica (including yourself) only from head of queue
- 3. On receiving an acknowledgement:
  - Mark corresponding update acknowledged in your queue
- Remove and process updates <u>everyone</u> has ack'ed from <u>head</u> of queue

## **Totally-Ordered Multicast (Correct version)**



#### So, are we done?

- Does totally-ordered multicast solve the problem of multi-site replication in general?
- Not by a long shot!
- 1. Our protocol assumed:
  - No node failures
  - No message loss
  - No message corruption
- 2. All to all communication does not scale
- 3. Waits forever for message delays (performance?)

#### Take-away points: Lamport clocks

- Can totally-order events in a distributed system: that's useful!
  - We saw an application of Lamport clocks for totallyordered multicast
- But: while by construction,  $\mathbf{a} \rightarrow \mathbf{b}$  implies  $C(\mathbf{a}) < C(\mathbf{b})$ ,
  - The converse is not necessarily true:
    - $C(\mathbf{a}) < C(\mathbf{b})$  does not imply  $\mathbf{a} \rightarrow \mathbf{b}$  (possibly,  $\mathbf{a} \parallel \mathbf{b}$ )

Can't use Lamport clock timestamps to infer causal relationships between events

## Next Topic: Vector Clocks & Distributed Snapshots